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PTO/SB/21 (09-04)

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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

6

Application Number

10/600,041

Filing Date

June 20, 2003

First Named Inventor

Gutman, et al

Art Unit

N/A

Examiner Name

N/A

Attorney Docket Number

2088

### ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/Incomplete Application
  - ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
- ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
References: US 6,210,609 B1; US 4,767,486 B1; and JP-402182433A B2
- Return Receipt Postcard

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Energy Conversion Devices, Inc.

Signature

Printed name

Anthony J. Serventi

Date

October 22, 2004

Reg. No.

42,141

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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Melinda Cihone

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October 22, 2004

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Patent Application Serial No.: 10/600,041

Attorney Docket No.: 2088

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Gutman, et al.

Serial Number: 10/600,041

Filed: June 20, 2003

Title: METHOD AND APPARATUS FOR PRODUCING OPTICAL DISK  
SUBSTRATES

Group Art Unit: N/A

Examiner: N/A

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(c)(2)**

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Applicants submit this statement in accordance with the duty of disclosure under 37 CFR 1.56. This statement is filed in accordance with 37 CFR 1.97(c)(2). This document is filed before receipt of the first office action. Therefore, no fees are due at this time.

Applicant hereby notifies the US Patent and Trademark Office of the items listed on forms PTO/SB/08A, submitted herewith.

The submission of the listed documents is not intended as an admission that any such documents constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to the antedate or otherwise remove the listed documents as a competent reference against the claims of the present application.

Respectfully submitted,

Anthony J. Serventi

Attorney No. 42,141

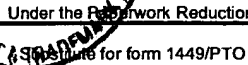
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**(Use as many sheets as necessary)**

Sheet	1	of	1
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Application Number	10/600,041
Filing Date	June 20, 2003
First Named Inventor	George Gutman
Art Unit	
Examiner Name	
Attorney Docket Number	2088

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	C	JP - 402182433A B2	7-17-1990	Arisawa et al.		

Date  
Considered

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